

STATE OF SOUTH CAROLINA

(Caption of Case)

Application of Carolina Power and  
Light Company d/b/a Progress Energy  
Carolinas, Incorporated to Transfer  
Ownership of Carolina Power and  
Light Company and Interpath to a  
Holding Company

COPY

Period: 10/1

By: A.A.

(Please type or print)

Submitted by: Len Anthony

Address: P.O. Box 1551

Mail Code 17A4

Raleigh NC 27602

BEFORE THE  
PUBLIC SERVICE COMMISSION  
OF SOUTH CAROLINA

COVER SHEET

DOCKET/NDI

NUMBER: 1999 - 434 - EC

2011-68-E

SC Bar Number: \_\_\_\_\_

Telephone: 919-546-6367

Fax: \_\_\_\_\_

Other: \_\_\_\_\_

Email: Len.S.Anthony@pcnmail.com

NOTE: The cover sheet and information contained herein neither replaces nor supplements the filing and service of pleadings or other papers as required by law. This form is required for use by the Public Service Commission of South Carolina for the purpose of docketing and must be filled out completely.

DOCKETING INFORMATION (Check all that apply)

☐ Emergency Relief demanded in petition

☐ Request for item to be placed on Commission's Agenda expeditiously

☐ Other: \_\_\_\_\_

INDUSTRY (Check one)	NATURE OF ACTION (Check all that apply)			
<input type="checkbox"/> Electric	<input type="checkbox"/> Affidavit	<input type="checkbox"/> Letter	<input type="checkbox"/> Request	
<input type="checkbox"/> Electric/Gas	<input type="checkbox"/> Agreement	<input type="checkbox"/> Memorandum	<input type="checkbox"/> Request for Certification	
<input checked="" type="checkbox"/> Electric/Telecommunications	<input type="checkbox"/> Answer	<input type="checkbox"/> Motion	<input type="checkbox"/> Request for Investigation	
<input type="checkbox"/> Electric/Water	<input type="checkbox"/> Appellate Review	<input type="checkbox"/> Objection	<input type="checkbox"/> Resale Agreement	
<input type="checkbox"/> Electric/Water/Telecom.	<input type="checkbox"/> Application	<input type="checkbox"/> Petition	<input type="checkbox"/> Resale Amendment	
<input type="checkbox"/> Electric/Water/Sewer	<input type="checkbox"/> Brief	<input type="checkbox"/> Petition for Reconsideration	<input type="checkbox"/> Reservation Letter	
<input type="checkbox"/> Gas	<input type="checkbox"/> Certificate	<input type="checkbox"/> Petition for Rulemaking	<input type="checkbox"/> Response	
<input type="checkbox"/> Railroad	<input type="checkbox"/> Comments	<input type="checkbox"/> Petition for Rule to Show Cause	<input type="checkbox"/> Response to Discovery	
<input type="checkbox"/> Sewer	<input type="checkbox"/> Complaint	<input type="checkbox"/> Petition to Intervene	<input type="checkbox"/> Return to Petition	
<input type="checkbox"/> Telecommunications	<input type="checkbox"/> Consent Order	<input type="checkbox"/> Petition to Intervene Out of Time	<input type="checkbox"/> Stipulation	
<input type="checkbox"/> Transportation	<input type="checkbox"/> Discovery	<input type="checkbox"/> Prefiled Testimony	<input type="checkbox"/> Subpoena	
<input type="checkbox"/> Water	<input type="checkbox"/> Exhibit	<input type="checkbox"/> Promotion	<input type="checkbox"/> Tariff	
<input type="checkbox"/> Water/Sewer	<input type="checkbox"/> Expedited Consideration	<input type="checkbox"/> Proposed Order	<input checked="" type="checkbox"/> Other: <u>Notice</u>	
<input type="checkbox"/> Administrative Matter	<input type="checkbox"/> Interconnection Agreement	<input type="checkbox"/> Protest		
<input type="checkbox"/> Other: _____	<input type="checkbox"/> Interconnection Amendment	<input type="checkbox"/> Publisher's Affidavit		
	<input type="checkbox"/> Late-Filed Exhibit	<input type="checkbox"/> Report		

RECEIVED

FEB 15 2011

PSC SC  
CLERK'S OFFICE



February 14, 2011

RECEIVED

FEB 15 2011

PSG SC  
CLERK'S OFFICE

Jocelyn G. Boyd, Esquire  
Chief Clerk/Administrator  
Public Service Commission of South Carolina  
101 Executive Center Drive, Suite 100  
Columbia, SC 29210

RE: Public Service Commission Docket No. 1999-434-E/C

Dear Mrs. Boyd:

Pursuant to Regulatory Conditions 25 and 31 established in Docket No. 1999-434-E/C, Progress Energy Carolinas, Inc. files the attached notice.

Yours very truly,

A handwritten signature in cursive script that reads "Len S. Anthony".

Len S. Anthony  
General Counsel  
Progress Energy Carolinas, Inc.

LSA:jfc

Attachment

cc: John Flitter

STAREG1398

**PUBLIC SERVICE COMMISSION  
OF SOUTH CAROLINA**

**DOCKET NO. 1999-434-E/C**

BEFORE THE PUBLIC SERVICE COMMISSION OF SOUTH CAROLINA

In the Matter of	)	
	)	
Progress Energy Carolinas, Inc.'s Notices and Information Required by Regulatory Conditions 25 and 31	)	<b>PROGRESS ENERGY CAROLINAS, INC. NOTICES</b>
	)	

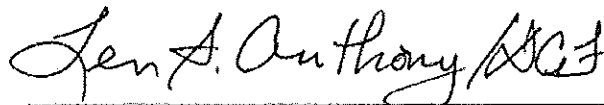
Pursuant to Regulatory Conditions 25 and 31, established in Docket No. 1999-434-E/C, Progress Energy Carolinas, Inc. ("PEC") files the following information with the Public Service Commission of South Carolina ("the Commission").

- 1) Regulatory Condition 25 requires PEC to provide the Commission with ninety (90) days written notice of PEC's intent to pursue any proposed merger, corporate restructuring, business acquisition or sale, or other business combinations involving Progress Energy, Inc., PEC and/or their affiliates. On January 11, 2011 Progress Energy, Inc. signed an agreement with Duke Energy Corporation pursuant to which Duke Energy Corporation will acquire Progress Energy, Inc. On January 20, 2011 in an Allowable Ex Parte Briefing, PEC made a presentation to the Commission advising the Commission of the proposed business combination. This presentation

included a description of the transaction and the benefits to the state of South Carolina. The presentation is reflected in the transcript of the January 20, 2011 Allowable Ex Parte Briefing. Later this year, PEC anticipates filing with the Commission an application for permission to transfer operational control of PEC's generation assets to Duke Energy Carolinas in order to effectuate a joint dispatch of the two systems to serve PEC's and Duke Energy Carolinas' customers.

- 2) Regulatory Condition 31 requires PEC to give the Commission notice of any new subsidiary and/or affiliate. Under the business combination mentioned in paragraph 1 above, PEC will become a subsidiary of Duke Energy Corporation and an affiliate of Duke Energy Carolinas.

PROGRESS ENERGY CAROLINAS, INC.

A handwritten signature in black ink, reading "Len S. Anthony" followed by a stylized monogram or set of initials.

Len S. Anthony, General Counsel  
410 South Wilmington Street  
Raleigh, NC 27602  
Telephone: (919) 546-6367  
Email: [Len.S.Anthony@pgnmail.com](mailto:Len.S.Anthony@pgnmail.com)